

INTRODUCING BROKER (IB) COMPLIANCE GUIDELINES

Doyos Global

Partner Success Through Compliance

Welcome to the Doyos Global IB Program

This guide outlines the compliance standards, commission structure, and operational guidelines for all Introducing Brokers (IBs) partnering with Doyos Global. Your success and our partnership depend on maintaining the highest standards of integrity and compliance.

1. IB Program Overview

1.1 Who This Applies To

- Direct Introducing Brokers (Level 1)
- Sub IBs (Level 2-5-10)
- Master IBs / Senior IBs / Corporate IB
- Country Managers (CM)
- Country Directors (CD)

1.2 Program Objectives

- Build sustainable, long-term partnerships
 - Ensure transparent commission distribution
 - Maintain regulatory compliance
 - Protect client interests
 - Grow genuine trading volume
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2. Commission Structure

2.1 Standard Commission Distribution

Maximum Commission Cap: USD \$10 per Standard Lot

Level	Role	Commission %	Amount per Lot
Level 1	Direct IB	40%	\$4.00
Level 2	Sub IB	20%	\$2.00
Level 3	Network IB	15%	\$1.50
Level 4	Network IB	15%	\$1.50
Level 5	Network IB	10%	\$1.00
TOTAL	—	100%	\$10.00

2.2 Commission Rules

- ✓ Commission distributed across **Level 1 to Level 5 only**
- ✓ Total cap of **\$10 per lot is absolute and cannot be exceeded**
- ✓ Custom distributions require **prior written approval** from Compliance Department
- ✓ Even with approved adjustments, the \$10 cap remains fixed
- ✓ Commission calculated on **real client trading volume only**

2.3 Commission Settlement

- **Frequency:** Daily or Weekly (System/CRM-based)
- **Payment Method:** IB Wallet / Approved Channel
- **Currency:** USD
- **Processing:** Automated after compliance verification

2.4 Active IB Definition & Requirements

To qualify as an **Active IB** and maintain commission eligibility, you must meet the following criteria:

Mandatory Requirements:

- ✓ **Minimum 10 regular traders** in your network
- ✓ **Average total volume:** Minimum 10 Standard Lots daily
- ✓ **5 active traders mandatory** for commission eligibility
- ✓ **Self-trading excluded** - commission based on client trading only

Optional (Recommended for Growth):

- **★ Weekly new client target:** 5 KYC completions OR 5 new clients onboarded

Important Notes:

- IBs not meeting the mandatory criteria will have commission suspended until requirements are met
 - "Active trader" is defined as a client who trades at least once within the settlement period
 - "Regular trader" is defined as a client with consistent trading activity over multiple periods
 - Volume must be genuine client trading - artificial or self-generated volume does not count
 - New client onboarding helps maintain and grow your active IB status
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3. Leadership Incentive Program (CM & CD Only)

3.1 Eligibility Requirements

Both weekly AND monthly criteria must be met:

Tier	Weekly Volume	Monthly Average	Extra Incentive
Tier 1	Minimum 200 Lots	Minimum 1,000 Lots	+\$1 per lot
Tier 2	300+ Lots	1,500+ Lots	+\$2 per lot

3.2 Incentive Conditions

- ✓ **Only real client trading volume** qualifies
- ✓ Both weekly and monthly thresholds must be maintained
- ✓ Incentive calculated on **qualified monthly volume**
- ✓ **Automatic removal** if volume drops below criteria
- ✓ Subject to compliance and risk verification
- ✓ Artificial or internal volume is **strictly excluded**

3.3 Incentive Settlement

- **Frequency:** Monthly
 - **Timing:** After compliance audit completion
 - **Payment:** Added to IB wallet or approved channel
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4. Strictly Prohibited Activities

4.1 Trading Violations

The following activities will result in immediate action:

✗ **Wash Trading / Self Trading**

- Opening offsetting positions to create fake volume
- Trading between your own accounts or related accounts

✗ **Artificial Volume Generation**

- Trading solely to earn commission without genuine market intent
- Creating volume through non-economic transactions

✗ **Rebate Farming / Commission Gaming**

- Exploiting commission structure through manipulative trading patterns
- Trading strategies designed only to collect rebates

✗ **Arbitrage Exploitation**

- Using platform discrepancies or latency for guaranteed profits
- Price manipulation or feed arbitrage

✗ **Bonus Abuse**

- Misusing promotional funds or company credits
- Exploiting bonus terms through artificial trading

4.2 Account Violations

✗ **Fake / Duplicate / Related Accounts**

- Creating multiple accounts under false identities
- Operating connected accounts for hedging abuse
- Using proxy or nominee accounts

✗ **KYC Manipulation**

- Submitting false or fraudulent documents
- Using someone else's identity
- Providing misleading information

✗ **Internal Volume Circulation**

- Circular trading within your IB network
- Volume sharing between related IBs
- Creating artificial network activity

✗ Hedging Abuse Between Related Accounts

- Using multiple related accounts to eliminate risk while earning commission
- Cross-account hedging strategies

✗ Competitor Marketing / Dual Representation

- Marketing or working with any competitor company in the same industry
 - Simultaneously representing other forex brokers or similar financial services
 - Promoting competing platforms to clients
 - **Consequence:** Permanent IB account termination and potential legal action
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5. Client Onboarding Standards

5.1 KYC Requirements

- ✓ All referred clients **must complete full KYC verification**
- ✓ You must onboard **genuine, real clients only**
- ✓ Verify client identity and documents are authentic
- ✓ Only fully verified clients are commission-eligible

5.2 Client Quality Standards

- ✓ Clients must be real individuals or legitimate entities
- ✓ No fake, proxy, or unverifiable clients
- ✓ Client source must be transparent and legitimate
- ✓ Clients must understand trading risks
- ✓ No misleading promises or guarantees to clients

5.3 What You Cannot Promise Clients

- ✗ Guaranteed profits or fixed returns
 - ✗ Risk-free trading
 - ✗ Specific profit percentages
 - ✗ Commission sharing that violates regulations
 - ✗ Any arrangement outside official company policy
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6. Compliance Monitoring & Auditing

6.1 What We Monitor

Doyos Global continuously monitors all IB activities:

-  Trading behavior and patterns across your network
-  Volume authenticity and legitimacy
-  IB network structure and relationships
-  Commission anomalies and irregularities
-  Client source validation
-  Liquidity cost and risk exposure
-  AML (Anti-Money Laundering) compliance

6.2 Regular Audits

- Monthly commission and volume audits
- Quarterly network compliance reviews
- Random spot checks on trading patterns
- Client verification audits
- Risk assessment reviews

6.3 Your Cooperation

As an IB partner, you must:

- ✓ Respond promptly to compliance inquiries
 - ✓ Provide requested documentation
 - ✓ Allow account and network audits
 - ✓ Report suspicious activities
 - ✓ Maintain transparent records
 - ✓ Coordinate with clients & company authorised person
 - ✓ Must maintain your business development structure
 - ✓ Stay active - just onboarding a client and collecting commission is not acceptable; you must remain actively engaged in supporting and developing your client base
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7. Company Enforcement Rights

7.1 Actions We May Take

If violations are detected or suspected, Doyos Global reserves the right to:

- ⚠️ **Recalculate or adjust commission** retroactively
- ⚠️ **Withhold suspicious incentives** pending investigation
- ⚠️ **Freeze IB wallet temporarily** during review
- ⚠️ **Suspend IB, CM, or CD accounts** immediately
- ⚠️ **Cancel artificial trading volume** from calculations
- ⚠️ **Reverse irregular commission** already paid
- ⚠️ **Terminate IB partnership** permanently
- ⚠️ **Report to regulatory authorities** if required
- ⚠️ **Take legal action** for serious violations

7.2 Commission Clawback

- Company may reclaim commissions earned through prohibited activities
- All commission payments are subject to final compliance verification
- Violations may result in forfeiture of pending and past commissions

7.3 Competitor Engagement Policy

ZERO TOLERANCE: If any IB is found to be working with, marketing for, or representing any competitor company in the same industry or similar field:

- ⚠️ **Immediate permanent termination** of IB partnership
- ⚠️ **Forfeiture of all pending commissions**
- ⚠️ **Legal action may be pursued** for breach of partnership agreement
- ⚠️ **No reinstatement** under any circumstances

This policy protects the integrity of our partnership and ensures IBs are exclusively committed to Doyos Global's success.

8. Special Provisions

8.1 Company Credit & Promotional Funds

- ⚠️ Trading conducted with **company credit or promotional funds may have commission restrictions**
- Commission on promotional fund trading **may be removed** at company discretion
- Only genuine client deposit-based trading earns full commission

8.2 Volume Verification

- Only **real client trading volume** counts toward commission and incentives
 - Internal, artificial, or manipulated volume is excluded
 - Company has final authority on volume classification
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9. Risk Disclosures for IBs

9.1 Income Disclaimer

-  IB income is **performance-based, not guaranteed**
- Market conditions affect trading volume and commission
- No minimum income is promised or guaranteed
- Past performance does not predict future earnings

9.2 Policy Changes

- Incentive structure may be revised based on:
 - Regulatory requirements
 - Market conditions
 - Liquidity and operational costs
 - Risk management needs
- All updates will be officially communicated
- Continued participation implies acceptance of changes

9.3 Independent Contractor Status

- You are an independent business partner, not an employee
- You are responsible for your own tax obligations
- Company is not liable for agreements you make outside official policy
- You assume all risks of your business operations
- Business development expenses or any other expenses must be maintained by your own
- Office setup or any other expenses - company does not provide anything beyond the commission structure

Important Clarification:

This makes it explicit that IBs are fully responsible for all their business costs and cannot expect the company to cover office rent, marketing materials, travel, or any other operational expenses - compensation is strictly through the commission structure only.

10. Ethical Standards & Best Practices

10.1 Professional Conduct

- ✓ Represent Doyos Global honestly and accurately
- ✓ Maintain high ethical standards in all dealings
- ✓ Prioritize client interests and education
- ✓ Build sustainable, long-term client relationships
- ✓ Comply with all applicable regulations in your jurisdiction

10.2 Marketing & Advertising

- ✓ Use only approved marketing materials
- ✓ Make truthful, non-misleading statements
- ✓ Clearly disclose risks to potential clients
- ✓ Do not guarantee returns or make false promises
- ✓ Comply with advertising regulations in your market

10.3 Client Education

- ✓ Ensure clients understand trading risks
 - ✓ Provide accurate information about products and services
 - ✓ Encourage responsible trading practices
 - ✓ Support clients with proper resources
 - ✓ Never encourage overleveraging or reckless trading
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11. Support & Resources

11.1 IB Compliance Support Team

Email: ibc@doyos.com

IB Portal: portal.doyos.com

11.2 Compliance Department

Email: compliance@doyos.com

11.3 Resources Available

- IB training materials and webinars
- Marketing materials and assets
- Client education resources
- Real-time reporting dashboards
- Commission calculators
- Compliance updates and newsletters

12. Reporting Violations

12.1 Confidential Reporting

If you become aware of compliance violations by other IBs or clients:

✉ **Email:** abuse@doyos.com

Your report will be:

- Treated confidentially
- Investigated promptly
- Protected from retaliation

12.2 Mandatory Reporting

You must report:

- Suspected money laundering
- Fraudulent client activities
- Market manipulation attempts
- Unauthorized trading on client accounts

13. Agreement & Acceptance

13.1 By Participating in the IB Program, You Confirm:

- ✓ I have read and fully understand these compliance guidelines
- ✓ I agree to comply with all rules and regulations
- ✓ I will conduct business ethically and transparently
- ✓ I will onboard only genuine, verified clients
- ✓ I will not engage in any prohibited activities
- ✓ I understand enforcement actions for violations
- ✓ I will cooperate with compliance monitoring and audits
- ✓ I accept that commission is performance-based and not guaranteed
- ✓ I acknowledge company's right to amend this policy

13.2 Acknowledgment

Your continued participation in the IB program constitutes ongoing acceptance of these guidelines and any updates communicated by Doyos Global.

14. Legal & Final Authority

This document is issued under the authority of the **Compliance & Risk Management Department, Doyos Global**.

Enforcement: Any violation may result in commission suspension, incentive cancellation, account restriction, or partnership termination.

Final Authority: Doyos Global reserves full authority to enforce, review, audit, amend, or update this policy at any time without prior notice.

Interpretation: In case of disputes, the interpretation by Doyos Global Compliance Department shall be final.

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Compliance & Risk Management Department

Doyos Global

Thank you for your partnership and commitment to compliance excellence.

Together, we build sustainable success through integrity and transparency.

For complete terms and conditions, please refer to your IB Partnership Agreement and official company policies.